

Item 1- Cover Page

Brandon Heath Grubbs

Financial Management, Inc.

10809 Executive Center Drive, Suite 204

Little Rock, AR 72211

501-227-7400

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This Brochure Supplement provides information about Brandon Heath Grubbs that supplements the Financial Management, Inc. (“FMI”) Brochure. You should have received a copy of that Brochure. Please contact Harold Grubbs, President, if you did not receive Financial Management, Inc.’s Brochure or if you have any questions about the contents of this supplement.

Additional information about B. Heath Grubbs is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2- Educational Background and Business Experience

BRANDON HEATH GRUBBS, MBA, AIFA

BORN: 1973

EDUCATION:

- Graduated from University of Arkansas in 1997 with a BS in Biology.
- Graduated from Webster University in 2001 with a MBA with an emphasis on international business.

BUSINESS BACKGROUND:

- Chief Investment Officer of Financial Management, Inc. from 01/2019 to present; Vice President from 10/2009 to 12/2018; Investment Adviser Representative from 11/2009 to present.

AIFA – Accredited Investment Fiduciary Analyst

The Accredited Investment Fiduciary Analyst (AIFA) is issued by the [Center for Fiduciary Studies](#). Candidates must be an AIF program graduate, meet requirements from the AIFA Prerequisites Valuation Framework, successfully pass a final certification exam and complete 10 hours of continuing education each year.

PPC® – Professional Plan Consultant

The Professional Plan Consultant (PPC) designation is issued by the [Center for Fiduciary Studies](#). Candidates must have either (i) a minimum of two (2) years of relevant experience in the financial services industry, a bachelor's degree (or higher) and a professional credential; (ii) a minimum of five (5) years of relevant experience, a bachelor's degree (or higher) or a professional credential, or (iii) minimum of eight (8) years of relevant experience. Candidates must complete PPC training, successfully pass an examination, satisfy a Code of Ethics and Conduct Standards and complete six (6) hours of continuing education per year.

Item 3- Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4- Other Business Activities

Mr. Grubbs does not have other business activities.

Item 5- Additional Compensation

Mr. Grubbs does not receive any compensation from third parties for providing investment advice.

Item 6 - Supervision

Brandon Heath Grubbs is supervised by Harold Grubbs, President of FMI. Heath Grubbs' client accounts are subject to regular review and verification that asset balances are being managed in accordance with a client's investment guidelines.

Harold Grubbs can be reached at 10809 Executive Center Drive, Suite 204, Little Rock, AR 72211. Telephone number: 501-227-7400.